

CITY OF LODI
SUMMARY OF CASH AND INVESTED FUNDS
FOR THE QUARTER ENDED SEPTEMBER 30, 2019

TYPE OF INVESTMENT	Interest Rate	Maturity Date	Par Value	Cost	Market Value	% of Portfolio
Cash and Equivalents						
Farmers & Merchants Bank Main *		Due on Demand		4,240,210	4,240,210	3.60%
Farmers & Merchants Bank Payroll *		Due on Demand		47,627	47,627	0.04%
Local Agency Investment Fund		Due on Demand		22,018,171	22,018,171	18.67%
Farmer's & Merchants Bank Money Market *		Due on Demand		4,128,534	4,128,534	3.50%
Wells Fargo Bank Money Market *		Due on Demand		4,056,750	4,056,750	3.44%
Total Demand Deposit Accounts				34,491,293	34,491,293	29.24%
Bond Mutual Fund						
CAL TRUST Medium Term Fund		Due on Demand		10,128,968	10,128,968	8.59%
Total Bond Mutual Funds				10,128,968	10,128,968	8.59%
Local Bank Certificates of Deposit						
Farmers & Merchants Bank	1.00%	11/7/2019	2,020,278	2,020,278	2,020,278	1.71%
BBVA Compass	2.50%	3/13/2021	249,995	249,995	249,995	0.21%
Total Local Bank Certificates of Deposit			2,270,273	2,270,273	2,270,273	1.92%
Negotiable Certificates of Deposit						
MORGAN STANLEY BANK NA	1.80%	11/22/2019	250,000	250,000	249,954	0.21%
MORGAN STANLEY PRIVATE BANK	1.75%	11/22/2019	250,000	250,000	249,936	0.21%
WEX BANK INTEREST BEARING	1.75%	11/22/2019	250,000	250,000	249,936	0.21%
PACIFIC CITY BANK INTEREST	1.70%	11/25/2019	250,000	250,000	249,918	0.21%
MARQUIS BANK INTEREST BEARING	1.70%	11/29/2019	250,000	250,000	249,910	0.21%
BRIDGEWATER BANK BLOOMINGTON MINNESOTA	2.20%	8/17/2020	250,000	250,000	250,871	0.21%
BELMONT SAVINGS BANK INTEREST	1.95%	11/23/2020	250,000	250,000	250,425	0.21%
TIAA FSB INTEREST BEARING	1.95%	11/23/2020	250,000	250,000	250,423	0.21%
ALLY BANK INTEREST BANKING	2.05%	11/24/2020	250,000	250,000	250,707	0.21%
BERKSHIRE BANK PITTSFIELD	1.85%	11/24/2020	250,000	250,000	250,140	0.21%
NEBRASKALAND NATIONAL BANK	1.85%	11/27/2020	250,000	250,000	250,143	0.21%
MB FINANCIAL BANK NA	2.00%	11/17/2021	250,000	250,000	251,036	0.21%
MERRICK BANK	2.10%	11/17/2021	250,000	250,000	251,558	0.21%
DISCOVER BANK	2.15%	11/22/2021	250,000	250,000	251,823	0.21%
THIRD FEDERAL SAVINGS & LOAN CLEVELAND	2.10%	11/24/2021	250,000	250,000	251,565	0.21%
BMW BANK NORTH AMERICA	2.20%	11/29/2021	250,000	250,000	252,102	0.21%
ENERBANK USA	2.55%	1/18/2022	250,000	250,000	254,201	0.22%
HORIZON BANK NATION ASSN	2.85%	5/4/2022	250,000	250,000	256,603	0.22%
CAPITAL ONE BANK USA NA	2.40%	11/22/2022	250,000	250,000	254,432	0.22%
CAPITAL ONE NA	2.40%	11/22/2022	250,000	250,000	254,432	0.22%
GOLDMAN SACHS BANK USA	2.40%	11/22/2022	250,000	250,000	254,432	0.22%
WELLS FARGO BANK NA	2.40%	11/22/2022	250,000	250,000	254,168	0.22%
JP MORGAN CHASE BANK NA	2.30%	11/30/2022	250,000	250,000	250,118	0.21%
AMERICAN EXPRESS BANK FSB	2.50%	12/5/2022	250,000	246,699	253,934	0.22%
SYNCHRONY BANK	2.60%	1/19/2023	250,000	250,000	256,201	0.22%
SALLIE MAE BANK/SALT LAKE CITY, UTAH	2.65%	1/24/2023	250,000	250,000	256,623	0.22%
STATE BANK OF INDIA	2.70%	2/16/2023	250,000	250,000	257,136	0.22%
MEDALLION BANK UTAH	2.90%	4/10/2023	250,000	250,000	259,080	0.22%
STIFEL BANK AND TRUST	2.95%	5/15/2023	250,000	250,000	259,763	0.22%
CITIBANK NA	3.25%	6/6/2023	250,000	250,000	262,522	0.22%
INDUSTRIAL & COM BK CHNA	3.30%	6/30/2023	250,000	250,000	263,222	0.22%
COMENITY CAPITAL BANK	3.30%	7/16/2023	250,000	250,000	263,348	0.22%
UBS BANK	3.35%	10/5/2023	250,000	250,000	264,482	0.22%
BARCLAYS BANK/DELAWARE	3.35%	10/10/2023	250,000	250,000	264,462	0.22%
HSBC BANK	3.50%	10/31/2023	250,000	250,000	254,562	0.22%
BANK OF BARODA	3.55%	11/30/2023	250,000	250,000	266,800	0.23%
FIRST BANK FINANCIAL CEN	3.00%	2/6/2024	250,000	250,000	250,264	0.21%
MCHENRY SAVINGS BK IL	2.80%	3/14/2024	250,000	250,000	259,656	0.22%
FIRST UNITED BK & TRUST	2.95%	4/26/2024	250,000	250,000	250,182	0.21%
SILVERGATE BANK	2.70%	5/15/2024	250,000	250,000	250,239	0.21%
JONESBORO ST BANK	2.70%	6/7/2024	250,000	250,000	250,380	0.21%
CITI BANK **	1.95%	8/23/2022	250,000	250,000	250,979	0.21%
MOUNTAIN ONE BANK **	1.85%	5/31/2022	250,000	250,000	249,984	0.21%
Total Negotiable Certificates of Deposit			10,750,000	10,746,699	10,932,649	9.27%

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FOR THE QUARTER ENDED SEPTEMBER 30, 2019

TYPE OF INVESTMENT	Interest Rate	Maturity Date	Par Value	Cost	Market Value	% of Portfolio
Agency Securities						
FEDERAL FARM CREDIT BANK SYSTEM	1.50%	12/30/2019	500,000	495,085	499,577	0.42%
FEDERAL FARM CREDIT BANK SYSTEM	2.05%	1/30/2020	250,000	247,902	250,174	0.21%
FEDERAL NATIONAL MORTGAGE ASSOCIATION	1.50%	2/28/2020	500,000	494,585	499,148	0.42%
TENNESSEE VALLEY AUTHORITY	2.25%	3/15/2020	250,000	248,441	250,406	0.21%
FEDERAL FARM CREDIT BANK SYSTEM	2.50%	4/27/2020	250,000	249,526	250,955	0.21%
FEDERAL FARM CREDIT BANK SYSTEM	2.55%	5/15/2020	250,000	249,734	251,118	0.21%
FEDERAL FARM CREDIT BANK SYSTEM	1.55%	7/27/2020	500,000	492,355	498,937	0.42%
FEDERAL FARM CREDIT BANK SYSTEM	2.84%	9/17/2020	250,000	251,200	252,459	0.21%
FEDERAL NATIONAL MORTGAGE ASSOCIATION	1.50%	10/22/2020	205,000	199,527	204,421	0.17%
FEDERAL NATIONAL MORTGAGE ASSOCIATION	1.70%	11/13/2020	250,000	244,468	249,526	0.21%
FEDERAL HOME LOAN BANK	5.25%	12/11/2020	250,000	266,094	259,974	0.22%
FEDERAL HOME LOAN MORTGAGE CORPORATION	2.15%	1/26/2021	500,000	498,625	500,110	0.42%
FEDERAL AGRICULTURE MORTGAGE CORPORATION	2.35%	2/22/2021	250,000	247,195	251,757	0.21%
FEDERAL HOME LOAN BANK	2.38%	3/12/2021	250,000	247,737	252,131	0.21%
FEDERAL NATIONAL MORTGAGE ASSOCIATION	1.75%	4/9/2021	250,000	242,978	249,869	0.21%
FEDERAL NATIONAL MORTGAGE ASSOCIATION	1.25%	5/6/2021	250,000	240,040	248,101	0.21%
FEDERAL HOME LOAN BANK	1.50%	6/30/2021	250,000	242,625	247,970	0.21%
FEDERAL HOME LOAN MORTGAGE CORPORATION STEP	1.02%	7/27/2021	250,000	246,750	249,778	0.21%
FEDERAL NATIONAL MORTGAGE ASSOCIATION	2.30%	7/30/2021	250,000	249,125	250,103	0.21%
FEDERAL NATIONAL MORTGAGE ASSOCIATION	1.25%	8/17/2021	250,000	238,514	248,128	0.21%
FEDERAL NATIONAL MORTGAGE ASSOCIATION	2.00%	9/28/2021	250,000	244,105	251,568	0.21%
FEDERAL NATIONAL MORTGAGE ASSOCIATION	1.38%	10/7/2021	250,000	238,923	248,584	0.21%
FEDERAL HOME LOAN BANK	2.63%	12/10/2021	250,000	248,755	255,185	0.22%
FEDERAL NATIONAL MORTGAGE ASSOCIATION	2.00%	1/5/2022	250,000	243,235	251,789	0.21%
FEDERAL HOME LOAN MORTGAGE CORPORATION	2.00%	2/28/2022	230,000	221,548	230,120	0.20%
FEDERAL HOME LOAN BANK	2.63%	3/11/2022	250,000	248,853	255,831	0.22%
FEDERAL HOME LOAN BANK	1.65%	4/19/2022	500,000	481,125	499,523	0.42%
FEDERAL HOME LOAN BANK	2.62%	5/27/2022	250,000	249,465	256,517	0.22%
FEDERAL FARM CREDIT BANK SYSTEM	1.88%	6/1/2022	250,000	242,059	251,513	0.21%
FEDERAL FARM CREDIT BANK SYSTEM	1.75%	7/5/2022	250,000	238,208	249,361	0.21%
FEDERAL NATIONAL MORTGAGE ASSOCIATION	2.00%	8/26/2022	250,000	242,734	251,966	0.21%
FEDERAL HOME LOAN BANK	3.13%	9/9/2022	250,000	254,254	260,398	0.22%
FEDERAL NATIONAL MORTGAGE ASSOCIATION	2.25%	10/27/2022	250,000	246,063	250,086	0.21%
FEDERAL NATIONAL MORTGAGE ASSOCIATION	2.38%	1/19/2023	250,000	246,300	256,213	0.22%
FEDERAL FARM CREDIT BANK SYSTEM	2.65%	3/8/2023	250,000	249,325	258,644	0.22%
FEDERAL FARM CREDIT BANK SYSTEM	2.70%	4/11/2023	250,000	249,500	259,307	0.22%
FEDERAL HOME LOAN BANK	2.85%	5/8/2023	250,000	250,174	261,050	0.22%
FEDERAL AGRICULTURE MORTGAGE CORPORATION	2.90%	7/24/2023	250,000	249,160	260,823	0.22%
FEDERAL HOME LOAN BANK	3.50%	11/21/2023	350,000	360,000	350,646	0.30%
FEDERAL HOME LOAN BANK	2.63%	4/15/2024	500,000	500,000	501,514	0.43%
FEDERAL HOME LOAN MORTGAGE CORPORATION	2.63%	4/24/2024	650,000	650,037	652,645	0.55%
FEDERAL HOME LOAN MORTGAGE CORPORATION	2.75%	5/1/2024	500,000	500,000	501,473	0.43%
FEDERAL FARM CREDIT BANK **	2.20%	8/8/2022	250,000	250,489	249,331	0.21%
FREDDIE MAC **	1.85%	9/3/2021	350,000	350,000	349,644	0.30%
FREDDIE MAC **	1.85%	9/2/2022	350,000	350,000	349,785	0.30%
FREDDIE MAC **	2.00%	9/12/2022	250,000	250,000	250,012	0.21%
FREDDIE MAC **	2.05%	6/10/2022	250,000	250,000	250,031	0.21%
FREDDIE MAC **	2.65%	6/4/2024	400,000	401,466	400,305	0.34%
FREDDIE MAC **	2.25%	8/12/2024	500,000	500,000	498,094	0.42%
Total Agency Securities			15,035,000	14,898,278	15,126,598	12.83%

US Treasury Notes	Interest Rate	Maturity Date	Par Value	Cost	Market Value	% of Portfolio
UNITED STATES TREASURY	1.38%	4/30/2020	500,000	491,523	498,535	0.42%
UNITED STATES TREASURY	1.63%	6/30/2020	500,000	493,607	499,121	0.42%
UNITED STATES TREASURY	2.63%	7/31/2020	250,000	249,029	251,553	0.21%
UNITED STATES TREASURY	2.63%	8/31/2020	250,000	248,942	251,748	0.21%
UNITED STATES TREASURY	2.63%	11/15/2020	250,000	248,868	252,246	0.21%
UNITED STATES TREASURY	2.38%	12/31/2020	250,000	247,420	251,768	0.21%
UNITED STATES TREASURY	1.38%	1/31/2021	250,000	241,844	248,691	0.21%
UNITED STATES TREASURY	1.13%	2/28/2021	250,000	239,815	247,803	0.21%
UNITED STATES TREASURY	1.25%	3/31/2021	250,000	240,368	248,184	0.21%
UNITED STATES TREASURY	1.38%	4/30/2021	250,000	240,904	248,623	0.21%
UNITED STATES TREASURY	1.38%	5/31/2021	250,000	240,547	248,594	0.21%
UNITED STATES TREASURY	1.13%	6/30/2021	250,000	238,335	247,520	0.21%

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FOR THE QUARTER ENDED SEPTEMBER 30, 2019

TYPE OF INVESTMENT	Interest Rate	Maturity Date	Par Value	Cost	Market Value	% of Portfolio
US Treasury Notes Cont'd						
UNITED STATES TREASURY	1.13%	7/31/2021	250,000	237,921	247,510	0.21%
UNITED STATES TREASURY	2.00%	8/31/2021	250,000	244,513	251,543	0.21%
UNITED STATES TREASURY	1.13%	9/30/2021	250,000	237,186	247,441	0.21%
UNITED STATES TREASURY	2.00%	10/31/2021	250,000	244,134	251,748	0.21%
UNITED STATES TREASURY	2.00%	12/31/2021	400,000	394,512	403,250	0.34%
UNITED STATES TREASURY	1.50%	1/31/2022	250,000	240,139	249,326	0.21%
UNITED STATES TREASURY	1.88%	2/28/2022	250,000	243,370	251,465	0.21%
UNITED STATES TREASURY	1.88%	3/31/2022	250,000	243,143	251,699	0.21%
UNITED STATES TREASURY	1.75%	5/31/2022	250,000	242,223	250,938	0.21%
UNITED STATES TREASURY	1.75%	6/30/2022	250,000	242,065	251,094	0.21%
UNITED STATES TREASURY	1.88%	7/31/2022	250,000	243,028	251,865	0.21%
UNITED STATES TREASURY	1.63%	8/31/2022	250,000	240,313	250,215	0.21%
UNITED STATES TREASURY	1.88%	9/30/2022	250,000	242,668	252,217	0.21%
UNITED STATES TREASURY	2.00%	10/31/2022	250,000	243,745	253,076	0.21%
UNITED STATES TREASURY	2.00%	11/30/2022	250,000	243,603	253,145	0.21%
UNITED STATES TREASURY	2.13%	12/31/2022	250,000	244,688	254,268	0.22%
UNITED STATES TREASURY	1.50%	2/28/2023	250,000	237,063	249,346	0.21%
UNITED STATES TREASURY	1.50%	3/31/2023	250,000	236,790	249,424	0.21%
UNITED STATES TREASURY	1.63%	4/30/2023	250,000	238,225	250,361	0.21%
UNITED STATES TREASURY	1.63%	5/31/2023	250,000	236,525	250,488	0.21%
UNITED STATES TREASURY	2.63%	6/30/2023	250,000	247,329	259,522	0.22%
UNITED STATES TREASURY	1.25%	7/31/2023	250,000	231,621	246,982	0.21%
Total US Treasury Notes			9,150,000	8,876,001	9,171,306	7.78%

Corporate Securities	Interest Rate	Maturity Date	Par Value	Cost	Market Value	% of Portfolio
PEPSICO INC	1.35%	10/4/2019	500,000	494,006	499,959	0.42%
GENERAL ELECTRIC CO	2.20%	1/9/2020	500,000	499,181	499,403	0.42%
MERCK & COMPANY INC	1.85%	2/10/2020	300,000	296,400	299,803	0.25%
MICROSOFT CORP	1.85%	2/12/2020	500,000	500,453	499,582	0.42%
CHEVRON CORP	1.96%	3/3/2020	500,000	498,091	500,091	0.42%
AMERICAN HONDA FINANCE	2.15%	3/13/2020	300,000	299,977	300,066	0.25%
NEVADA POWER COMPANY	2.75%	4/15/2020	250,000	249,765	251,058	0.21%
IBM CORP	1.63%	5/15/2020	500,000	494,010	499,040	0.42%
CITIBANK NA	2.10%	6/12/2020	750,000	737,700	750,238	0.64%
CISCO SYSTEMS INC	2.45%	6/15/2020	250,000	248,618	250,890	0.21%
JPMORGAN CHASE & CO	2.75%	6/23/2020	420,000	419,129	421,862	0.36%
TARGET CORPORATION	3.88%	7/15/2020	250,000	256,310	253,811	0.22%
AMAZON.COM INC	1.90%	8/21/2020	300,000	298,653	300,195	0.25%
HSBC BANK USA NA	4.88%	8/24/2020	250,000	256,818	256,105	0.22%
AUTOMATIC DATA PROCESSING	2.25%	9/15/2020	300,000	297,516	300,829	0.26%
BANK OF AMERICA CORP	2.63%	10/19/2020	500,000	503,172	503,173	0.43%
COCA-COLA COMPANY	2.45%	11/1/2020	250,000	248,290	251,394	0.21%
WELLS FARGO & COMPANY	2.55%	12/7/2020	200,000	199,591	201,073	0.17%
WELLS FARGO BANK NA	2.60%	1/15/2021	250,000	246,540	251,813	0.21%
MICROSOFT CORP	4.00%	2/8/2021	100,000	104,600	102,988	0.09%
CISCO SYSTEMS INC	2.20%	2/28/2021	150,000	147,659	150,777	0.13%
EXXON MOBIL CORPORATION	2.22%	3/1/2021	150,000	147,915	150,786	0.13%
BERKSHIRE HATHAWAY INC	2.20%	3/15/2021	100,000	99,297	100,519	0.09%
PNC BANK NA	2.15%	4/29/2021	500,000	495,965	500,836	0.42%
COSTCO WHOLESALE CORP	2.15%	5/18/2021	100,000	99,008	100,423	0.09%
ALPHABET INC	3.63%	5/19/2021	100,000	104,000	102,726	0.09%
DTE ELECTRIC CO	3.90%	6/1/2021	375,000	385,585	384,235	0.33%
TOTAL CAPITAL INTERNATIONAL SA	2.75%	6/19/2021	250,000	248,075	253,210	0.21%
AMERICAN HONDA FINANCE	1.65%	7/12/2021	250,000	239,433	248,766	0.21%
WELLS FARGO BANK NA	3.33%	7/23/2021	750,000	748,343	756,616	0.64%
APPLE INC	1.55%	8/4/2021	500,000	485,396	497,541	0.42%
MIZUHO FINANCIAL CORPORATION	2.27%	9/13/2021	250,000	240,903	249,846	0.21%
BOEING COMPANY	2.35%	10/30/2021	250,000	245,363	251,670	0.21%
PFIZER INC	2.20%	12/15/2021	200,000	198,284	201,101	0.17%
TOYOTA MOTOR CREDIT CORP	2.60%	1/11/2022	250,000	246,665	253,956	0.22%
PACIFICORP	2.95%	2/1/2022	250,000	249,816	255,128	0.22%
JOHNSON & JOHNSON	2.25%	3/3/2022	250,000	245,167	252,936	0.21%
TD AMERITRADE HOLDING COMPANY	2.95%	4/1/2022	250,000	247,243	256,309	0.22%
ORACLE CORP	2.50%	5/15/2022	100,000	99,204	101,219	0.09%

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Corporate Securities Cont'd						
UNITED PARCEL SERVICE	2.35%	5/16/2022	100,000	98,749	100,808	0.09%
DEERE & COMPANY	2.60%	6/8/2022	100,000	99,153	101,660	0.09%
3M COMPANY	2.00%	6/26/2022	150,000	144,485	151,350	0.13%
TOYOTA MOTOR CREDIT CORPORATION	2.80%	7/13/2022	250,000	246,950	256,288	0.22%
PROCTER & GAMBLE COMPANY	2.15%	8/11/2022	250,000	243,146	252,778	0.21%
MERCK & CO INC	2.40%	9/15/2022	100,000	98,701	101,558	0.09%
JPMORGAN CHASE & CO	3.25%	9/23/2022	100,000	101,377	103,360	0.09%
GENERAL ELECTRIC CO	2.70%	10/9/2022	250,000	242,535	250,701	0.21%
MICROSOFT CORP	2.65%	11/3/2022	250,000	247,580	256,332	0.22%
AUSTRALIA & NEW ZEALAND BANKING GROUP NY	2.63%	11/9/2022	250,000	243,450	254,236	0.22%
VISA INC	2.80%	12/14/2022	250,000	247,518	257,048	0.22%
JPMORGAN CHASE & CO	2.97%	1/15/2023	150,000	147,503	152,714	0.13%
BANK OF NEW YORK MELLON CORP	2.95%	1/29/2023	100,000	100,069	102,696	0.09%
WELLS FARGO & COMPANY	3.45%	2/13/2023	150,000	152,147	154,906	0.13%
UNITED HEALTH GROUP INC	2.75%	2/15/2023	100,000	99,143	101,970	0.09%
EXXON MOBIL CORPORATION	2.73%	3/1/2023	250,000	247,040	256,855	0.22%
WALMART INC	2.55%	4/11/2023	250,000	244,525	255,084	0.22%
APPLE INC	2.40%	5/3/2023	250,000	240,887	254,117	0.22%
SOUTHERN CALIFORNIA EDISON	3.40%	6/1/2023	254,000	252,849	262,947	0.22%
CHEVRON CORPORATION	3.19%	6/24/2023	250,000	249,295	260,641	0.22%
ORACLE CORP	3.63%	7/15/2023	250,000	253,415	263,975	0.22%
IBM CORP	3.38%	8/1/2023	500,000	492,500	523,031	0.44%
SHELL INTERNATIONAL FIN	3.40%	8/12/2023	250,000	252,275	263,403	0.22%
GILEAD SCIENCES INC	2.50%	9/1/2023	260,000	249,886	263,395	0.22%
TOYOTA MOTOR CREDIT CORP	2.25%	10/18/2023	250,000	235,228	251,981	0.21%
COCA-COLA CO	3.20%	11/1/2023	238,000	235,651	250,306	0.21%
NATIONAL RURAL UTIL COOP	3.40%	11/15/2023	300,000	297,885	313,966	0.27%
ALABAMA POWER CO	3.55%	12/1/2023	250,000	249,873	264,239	0.22%
TOYOTA MOTOR CREDIT CORP	3.35%	1/8/2024	400,000	399,358	421,600	0.36%
JOHN DEERE CAPITAL CORP	3.45%	1/10/2024	250,000	250,448	263,393	0.22%
BANK OF AMERICA CORP	3.25%	2/15/2024	500,000	500,000	499,523	0.42%
BOEING CO	2.80%	3/1/2024	250,000	248,917	256,241	0.22%
BANK OF AMERICA CORP	4.00%	4/1/2024	250,000	263,445	267,988	0.23%
BP CAP MARKETS AMERICA **	3.79%	2/6/2024	500,000	538,075	531,384	0.45%
Total Corporate Securities			20,447,000	20,336,195	20,784,445	17.62%
Municipal Securities						
LOS ANGELES CA MUNICIPAL IMPT CORPL	2.34%	11/1/2019	260,000	259,093	260,094	0.22%
SAN RAMON CA REDEVELOPMENT AGENCY	2.75%	2/1/2020	105,000	104,941	105,245	0.09%
CONNECTICUT GENERAL OBLIGATION	2.29%	3/15/2020	500,000	493,235	500,750	0.42%
POMONA CA REVENUE WATER FACILITIES PROJECT	2.01%	5/1/2020	150,000	148,584	150,062	0.13%
LA DEPT OF APRTS	2.09%	5/15/2020	600,000	600,046	600,210	0.51%
ALAMEDA COUNTY CA JOINT POWERS AUTHORITY	2.60%	6/1/2020	550,000	550,506	552,261	0.47%
LANCASTER CA REDEVELOPMENT AGENCY	2.39%	8/1/2020	235,000	236,203	235,719	0.20%
CONNECTICUT ST GENERAL OBLIGATION	2.50%	8/1/2020	265,000	262,448	265,941	0.23%
MARIN COUNTY	2.66%	8/1/2020	500,000	500,000	503,180	0.43%
OAKLEY CA REDEVELOPMENT AGENCY	2.85%	9/1/2020	145,000	147,120	145,792	0.12%
SCOTTS VALLEY CA REDEVELOPMENT AGENCY	2.25%	9/1/2020	150,000	150,111	150,092	0.13%
SANTA FE SPRINGS CA COMMUNITY DEVELOPMENT	1.63%	9/1/2020	235,000	228,133	233,905	0.20%
PALM DESERT CA REDEVELOPMENT AGENCY	2.25%	10/1/2020	250,000	247,823	250,960	0.21%
TEXAS ST PUBLIC FINANCE AUTHORITY	3.53%	10/1/2020	350,000	358,351	355,940	0.30%
CALIFORNIA STATE HIGH SPEED RAIL	2.63%	4/1/2021	500,000	501,060	505,990	0.43%
UNIVERSITY OF CALIFORNIA	3.24%	5/15/2021	150,000	152,684	153,440	0.13%
UNIVERSITY OF CALIFORNIA CA REVENUES	1.79%	5/15/2021	250,000	244,733	249,978	0.21%
BURBANK-GLENDALE-PASADENA AIPORT AUTHORITY	4.13%	7/1/2021	90,000	94,323	93,033	0.08%
LA MIRADA CA REDEVELOPMENT	2.91%	8/15/2021	250,000	253,195	253,310	0.21%
YUBA LEVEE FINANCING AUTHORITY	2.86%	9/1/2021	250,000	252,980	252,918	0.21%
SAN DIEGO CA REDEVELOPMENT AGENCY	2.25%	9/1/2021	250,000	246,163	251,338	0.21%
ROSEVILLE FINANCE AUTHORITY - ELECTRIC SYSTEM	2.41%	2/1/2022	250,000	246,915	252,903	0.21%
POMONA REDEV AGY-REF	3.55%	2/1/2022	275,000	283,441	284,424	0.24%
CA ST-TXBL	6.65%	3/1/2022	640,000	716,619	697,965	0.59%
STATE OF MARYLAND	2.65%	3/15/2022	300,000	300,480	306,237	0.26%
CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY	2.46%	4/1/2022	250,000	246,270	251,693	0.21%
CALIFORNIA ST-A-TXBL	2.37%	4/1/2022	500,000	502,362	506,230	0.43%

CITY OF LODI
SUMMARY OF CASH AND INVESTED FUNDS
FOR THE QUARTER ENDED SEPTEMBER 30, 2019

TYPE OF INVESTMENT	Interest Rate	Maturity Date	Par Value	Cost	Market Value	% of Portfolio
<u>Municipal Securities Cont'd</u>						
CALIFORNIA STATE DEPT OF WATER RESOURCES	2.00%	5/1/2022	300,000	291,678	301,866	0.26%
UNIV OF CALIFORNIA-BC	2.61%	5/15/2022	250,000	250,000	254,885	0.22%
TULARE COUNTY CA PENSION OBLIGATION	3.20%	6/1/2022	250,000	250,245	256,890	0.22%
ADELANTO PUBLIC UTILITIES	3.50%	7/1/2022	240,000	246,936	249,420	0.21%
ARKANSAS ST AMENDMENT 82	2.84%	7/1/2022	100,000	100,499	102,670	0.09%
OCEANSIDE CA PENSION OBLIGATION	3.84%	8/15/2022	200,000	209,604	210,104	0.18%
SAN BERNARDINO COUNTY REDEVELOPMENT	3.25%	9/1/2022	160,000	161,840	163,779	0.14%
VISTA CA REDEVELOPMENT	3.00%	9/1/2022	120,000	120,960	124,154	0.11%
SAN LEANDRO CA REDEVELOPMENT AGENCY	3.21%	9/1/2022	260,000	260,887	269,794	0.23%
SAN FRANCISCO CITY & COUNTY CA	2.40%	11/1/2022	445,000	437,052	451,773	0.38%
CALIFORNIA DEPARTMENT OF WATER RESOURCES	2.52%	12/1/2022	75,000	75,000	76,728	0.07%
CALIFORNIA HOUSING FINANCE AGENCY	2.88%	2/1/2023	200,000	443,770	462,317	0.39%
CARSON CA REDEVELOPMENT	3.00%	2/1/2023	80,000	81,000	81,705	0.07%
GEORGIA ST GENERAL OBLIGATION	2.23%	2/1/2023	310,000	299,559	313,577	0.27%
FRESNO FING-B REF	3.18%	4/1/2023	285,000	282,230	295,066	0.25%
OHIO ST THIRD FRONTIER RESEARCH	2.11%	5/1/2023	250,000	240,273	251,505	0.21%
RIVERSIDE CA PENSION OBLIGATION	2.63%	6/1/2023	250,000	243,888	254,925	0.22%
SAN DIEGO TOBACCO SETTLEMENT	3.44%	6/1/2023	500,000	500,745	517,975	0.44%
INDUSTRY-REF-TXBL	3.00%	7/1/2023	500,000	506,070	503,935	0.43%
CALIFORNIA VARIOUS PURPOSE	3.50%	8/1/2023	305,000	310,481	321,921	0.27%
RANCHO WATER	2.10%	8/1/2023	110,000	104,150	110,905	0.09%
CENTRAL CONTRA COSTA SANITATION DISTRICT	3.12%	9/1/2023	415,000	417,283	436,086	0.37%
CALIFORNIA STATE MUNI TAX	2.25%	10/1/2023	250,000	239,738	253,258	0.21%
CORTE MADERA-TXBL-REF	2.75%	5/1/2024	150,000	150,357	153,693	0.13%
CONTRA COSTA CA COMNTY **	1.77%	8/1/2024	250,000	250,000	248,253	0.21%
Total Municipal Securities			14,505,000	14,802,060	15,036,788	12.75%

INVESTMENTS SUBTOTAL NOT INCLUDING CASH & EQUIVALENTS

72,157,273 71,929,507 73,322,061 62.17%

TOTAL ALL INVESTMENTS INCLUDING CASH & EQUIVALENTS

116,549,768 117,942,321 100.00%

* End of month bank balances are reflected on this report.

** Investments purchased during this reporting period.

CERTIFICATION

I certify this report accurately reflects all city pooled investments and is in conformity with state law and the investment policy statement adopted by the City Council on November 20, 2019. The investment program herein shown provides sufficient cash liquidity to meet the next six months estimated expenditures. A copy of this report is available in the City Clerk's Office or online at <http://www.lodi.gov/finance/revenue.html>.

Andrew Keys, Treasurer/Director of Finance

Susan Bjork, Budget Manager

Review Date

Review Date

IRS Section 115 Retirement Benefit Trust Funds	Market Value
<u>Public Agency Retirement Services - Pension Stabilization Fund</u>	
Balanced Strategy Mutual Fund	\$ 14,100,393
Total Pension Stabilization Fund	\$ 14,100,393
<u>CalPERS - California Employer's Retiree Benefit Trust (CERBT)</u>	
CERBT Strategy 2	\$ 1,249,224
Total CERBT	\$ 1,249,224
Total Section 115 Retirement Benefit Trust Funds	\$ 15,349,617

Restricted Cash Accounts	Market Value
<u>PCE/TCE Central Plume Remediation Fund</u>	
Farmers & Merchants Bank Money Market	\$ 1,826,727
Total PCE/TCE Central Plume Account	\$ 1,826,727
Total Restriction Cash Accounts	\$ 1,826,727